

#16

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents
Washington, D.C. 20231
on October 22, 2001

Date of Deposit

Vincent J. Gnoffo, Reg. No. 44,714

Name of applicant, assignee or
Registered Representative

Vincent J. Gnoffo

Signature

October 22, 2001

Date of Signature



Case No. 10781/9

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ioannis Pallikaris et al.

Serial No.: 09/911,356

Filed: July 23, 2001

For: DEVICE FOR
SEPARATING THE
EPITHELIUM LAYWER
FORM THE SURFACE
OF THE CORNEA OF AN
EYE

Attention: Manager,
Application Branch

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
FILING DATE GRANTED**

Commissioner for Patents
Washington, D.C. 20231

Attn.: Box Missing Parts

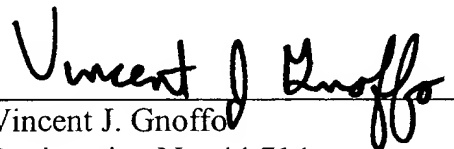
Dear Sir:

In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated September 13, 2001, a copy of which is attached, enclosed herewith for filing are the following documents:

- ☒ Fully executed Declaration for Patent Application and Power of Attorney for the above-referenced patent application.

- ☒ Applicant is:
- ☒ a small entity, verified statement is:
- ☐ attached
- ☐ already filed
- ☐ other than small entity
- ☒ Checks totaling \$502 for:
- ☒ Filing Fee of \$370.
- ☒ Surcharge of \$60.
- ☒ Additional Claim Fees of \$27.
- ☐ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).
- ☐ Other: _____.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,



Vincent J. Gnoffo
Registration No. 44,714
Attorney for Applicant(s)

Dated: October 22, 2001

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, IL 60610
(312)321-4200

#6

O I P E
OCT 0 9 2002
PATENT & TRADEMARK OFFICE

TRANSMITTAL LETTER			Case No. 10781/9
Serial No. 09/911,356	Filing Date July 23, 2001	Examiner Unknown	Group Art Unit 3731
Inventor(s) Ioannis Pallikaris et al.			
Title of Invention DEVICE FOR SEPARATING THE EPITHELIUM LAYER FROM THE SURFACE OF THE CORNEA OF AN EYE			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Transmittal Cover Letter (1p. Filed in Dup.); Notice to File Missing Parts (2pp.); Response to File Missing Parts (2p.); Check in the amount of \$502 to cover Filing Fee and surcharge; Assignment Recordation (1p.); Check in the amount of \$40 to cover Recordal of Assignment; Executed Assignment (2pp.); Executed Declaration (2pp.); Executed Power of Attorney (1p.); Information Disclosure Statement (2pp. Filed in Dup.); Form PTO 1449 (1p. 13 cited references); and PTO Reply Postcard

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a _____ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

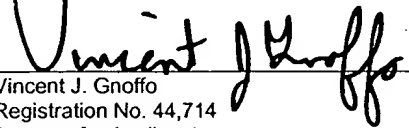
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total	23	Minus	20	3
Indep.	1	Minus	3	
First Presentation of Multiple Dep. Claim				

Small Entity	
Rate	Add'l Fee
x \$9=	27
x 42=	
+\$140=	
Total add'l fee	\$27

Other Than Small Entity	
Rate	Add'l Fee
x \$18=	
x \$84=	
+\$280=	
Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$502 to cover the filing fee and surcharge and a check for \$40 to cover recordal of assignment are enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,


Vincent J. Gnoffo
Registration No. 44,714
Attorney for Applicant

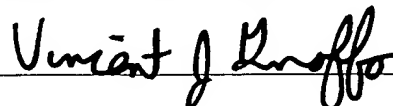
BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on October 22, 2001.

Date:

Oct. 22, 2001

Signature:



H6



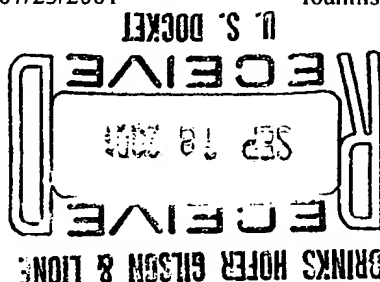
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov



APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/911,356	07/23/2001	Ioannis Pallikaris	10781/9

VINCENT J. GNOFFO
BRINKS, HOFER, GILSON & LIONE
455 N. CITYFRONT
NBC TOWER, SUITE 3600
CHICAGO, IL 60611



CONFIRMATION NO. 3390

FORMALITIES LETTER



OC000000006547316

Date Mailed: 09/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$27.
 - \$27 for 3 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 447.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

TRANSMITTAL LETTER			Case No. 10781/9
Serial No. 09/911;356	Filing Date July 23, 2001	Examiner Unknown	Group Art Unit 3731
Inventor(s) Ioannis Pallikaris et al.			
Title of Invention DEVICE FOR SEPARATING THE EPITHELIUM LAYER FROM THE SURFACE OF THE CORNEA OF AN EYE			

m/p



TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Transmittal Cover Letter (1p. Filed in Dup.); Request for Substitute Papers (1p.); Response to Request for Substitute Papers (2pp.); Copy of October 22, 2001 filing; and PTO Reply Postcard

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a _____ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

					Small Entity		or	Other Than Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee		Rate	Add'l Fee
Total		Minus			x \$9 =	27		x \$18 =	
Indep.		Minus			x 42 =			x \$84 =	
First Presentation of Multiple Dep. Claim					+ \$140 =			+ \$280 =	
					Total add'l fee	\$		Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$____. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$_____ to cover the filing fee.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Vincent J. Gnoffo
 Vincent J. Gnoffo
 Registration No. 44,714
 Attorney for Applicant

BRINKS HOFER GILSON & LIONE
 P.O. BOX 10395
 CHICAGO, ILLINOIS 60610
 (312) 321-4200

I hereby certify that this correspondence is being deposited VIA HAND DELIVERY, to: Customer Service Window, Room 1B03, Crystal Plaza Building 2, Arlington, VA 22202, on October 9, 2002.

Date: Oct. 8, 2002 Signature: Vincent J. Gnoffo



CERTIFICATE OF DELIVERY

I hereby certify that this correspondence is being hand delivered to: Customer Service Window, Room 1B03, Crystal Plaza Building 2, Arlington, VA 22202, on

October 9, 2002

Date of Deposit

Vincent J. Gnoffo, Reg. No. 44,714

Name of Applicant, Assignee or
Registered Representative

Vincent J. Gnoffo
Signature

Oct. 9, 2002
Date of Signature

Our Case No.: 10781/9

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ioannis G. Pallikaris et al.

Serial No.: 09/911,356

Filing Date: July 23, 2001

For: DEVICE FOR SEPARATING THE
EPITHELIUM LAYER FROM THE
SURFACE OF THE CORNEA OF
AN EYE

Examiner: Not yet assigned

Group Art Unit No.: 3731

RESPONSE TO REQUEST FOR SUBSTITUTE PAPERS

VIA HAND DELIVERY
Customer Service Window
Room 1B03
Crystal Plaza Building 2
Arlington, VA 22202

Dear Sir:

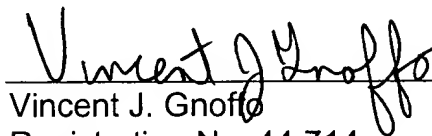
In response to the Request for Substitute Papers mailed September 23, 2002,

Applicants hereby submit a complete and accurate copy of papers filed on

October 22, 2001. Applicants respectfully request that the attached documents be entered into the present case.

Date: October 8, 2002

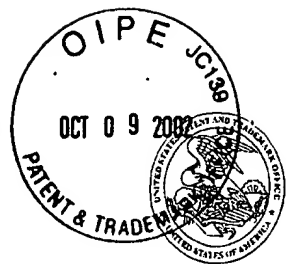
Respectfully submitted,



Vincent J. Gnoffo
Registration No. 44,714
Attorney for Applicant(s)



BRINKS HOFER GILSON & LIONE
P.O. Box 10395
Chicago, IL 60610
(312) 321-4200



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
www.uspto.gov

Application Number	Filing Date	First Named Applicant	Atty. Docket No.
09/911,356	07/23/2001	Pallikaris, Ioannis	10781/9

VINCENT J. GNOFFO
BRINKS, HOFER, GILSON & LIONE
455 N. CITYFRONT
NBC TOWER, SUITE 3600
CHICAGO IL 60611

Title: Device for separating the epithelium layer from the surface of the cornea of an eye

Date Mailed: 09/23/2002

Request for Substitute Papers

The papers filed on 01/29/02 (certificate of mailing dated 10/22/01) are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated **703-746-4060(OIPE)**.

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO **strongly** prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within **two (2) weeks** of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. **A copy of this notice should be included with the reply.**

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: VINCENT J. GNOFFO Registration No.: 44,714

Signature: Vincent J. Gnoffo Date: 10.8.02

